

Header					
#	Field	Discription	Possible values	M = Mandatory O = Optional	Type
1	Record type	Indicates whether it is the header or the response data.	HDR = Header	M	[1 6 alphanumeric character]
2	Share-ID-Reference	Unique identifier of the request	"CPTG-SID-AT0000000001-2020-0001" CPTG = Captrace, SID = Shareholder-ID, Isin = Isin of the Issuer, Year (YYYY) = Year of the request Number = Number 4 digits	M	[35 alphanumeric character]
3	Reply refernce	Unique identifier of the answer, assigned by the intermediary		M	[35 alphanumeric character]
4	Isin	Isin the class of shares requested by the issuer		M	[A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}
5	Date of reply	Date of reply		M	YYYY-MM-DD
6	BIC	BIC code of the responding intermediary		M	[A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}
7	LEI	Legal Entity Identifier of the responding intermediary		M	[A-Z0-9]{18,18}[0-9]{2,2}
8	Name	Name of the intermediary		M	[256 alphanumeric character]
9	Street	Address of the intermediary - Street		M	[70 alphanumeric character]
10	House number	Address of the intermediary - building number		M	[1 6 alphanumeric character]
11	Postal code	Address of the intermediary - postal code		M	[1 6 alphanumeric character]
12	P.O.Box	P.O. Box of the intermediary		O	[1 6 alphanumeric character]
13	City	Address of the intermediary - City		M	[35 alphanumeric character]
14	Country	Address of the intermediary - Country		M	[A-Z]{2,2} (ISO 3166, Alpha-2 code)
15	State	Address of the intermediary - State		O	[70 alphanumeric character]
16	Name of building	Name of the building		O	[70 alphanumeric character]

#	Field	Discription	Possible values	M = Mandatory O = Optional	Type
17	Floor	Floor of the intermediary		O	[16 alphanumeric character]
18	Department	Responding department		M	[70 alphanumeric character]
19	Subdivision	If necessary subdivision		O	[70 alphanumeric character]
20	Title	Title of contact person at intermediary		M	[16 alphanumeric character]
21	Name	Name of contact person at intermediary		M	[140 alphanumeric character]
22	E-Mail	E-Mail address of contact person at intermediary		M	[256 alphanumeric character]
23	Phone number	Phone number of contact person at intermediary		M	\+[0-9]{1,3}-[0-9()+\-]{1,30}
24	Quantity total	Total number of shares held by the responding intermediary. The total number corresponds to the sum of the numbers in the fields.		M	[15 Digits with a decimal seperator]
25	Quantity own	Total number of shares held for own account by the responding intermediary.		M	[15 Digits with a decimal seperator]
26	Quantity foreign	Total number of shares held by the responding intermediary on behalf of another person.		M	[15 Digits with a decimal seperator]
27	Custodian-ID	Unique identifier of the deposit account manager LEI of the deposit account manager, i.e. the higher-level intermediary in the chain where the responding intermediary in turn has a deposit account.		M	[A-Z0-9]{18,18}[0-9]{2,2}
28	Deposit account-ID	Deposit account number. Number of the responding intermediary's deposit account with the superior intermediary in the chain.		M	[70 alphanumeric character]

Data					
#	Field	Discription	Possible values	M = Mandatory O = Optional	Type
1	Record type	Indicates whether it is the header or the response data.	HDR = Header,BOD = Body	Mandatory, Optional	[1 6 alphanumeric character]
2	Share-ID-Reference	Unique identifier of the request	"CPTG-SID-AT1234567890E9W520200001" CPTG = Captrace, SID = Shareholder-ID, Isin = Isin of the Issuer, Year (YYYY) = Year of the request Number = Number 4 digits	M	[35 alphanumeric character]
3	Reply refernce	Unique identifier of the answer, assigned by the intermediary		M	[35 alphanumeric character]
4	Isin	Isin the class of shares requested by the issuer		M	[A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}
5	Date of reply	Date of reply		M	YYYY-MM-DD
6	BIC	BIC code of the responding intermediary		M	[A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}
7	LEI	Legal Entity Identifier of the responding intermediary		M	[A-Z0-9]{18,18}[0-9]{2,2}
8	RecordDate	Record date which the issuer has set for the requested investor data.		M	YYYY-MM-DD
9	Investor-ID	Unique ID for legal entities LEI or similar		O	[70 alphanumeric character] starting with two digital country code
10	Deposit account-Investor	Investor's deposit number at the responding bank		O	[70 alphanumeric character]
11	First name	Name of the investor for natural persons		O	[256 alphanumeric character]
12	Name	Name of investor		M	[256 alphanumeric character]
13	Type of address	Type of address of investor	HOME = Home address, BIZZ = Business address, DLVY = Shipping address	M	[1 6 alphanumeric character]
14	Street	Address of investor - Street		M	[70 alphanumeric character]
15	House number	Address of investor - House number		M	[1 6 alphanumeric character]
16	Postal code	Address of investor - Postal code		M	[1 6 alphanumeric character]

#	Field	Discription	Possible values	M = Mandatory O = Optional	Type
17	P.O.Box	P.o.Box of investor		O	[16 alphanumeric character]
18	City	Address of investor - City		M	[35 alphanumeric character]
19	Country	Address of investor - Country		M	[A-Z]{2,2} (ISO 3166, Alpha-2 code)
20	Name of building	Name of building		O	[70 alphanumeric character]
21	Floor	Floor where investor is situated		O	[16 alphanumeric character]
22	E-Mail	E-Mail address of contact person at intermediary		O	[256 alphanumeric character]
23	Nationality/Country	Nationality of natural person Company seat of legal entity		M	[A-Z]{2,2} (ISO 3166, Alpha-2 code)
24	Date of bith	Date of birth for natural persons required		O	YYYY-MM-DD
25	Investortype	Type of investor	ELIG = Most sophisticated Investor PROF = Institutional investors like banks, funds, etc. RETL = Natural persons	M	[16 alphanumeric character]
26	Type of ownership	Type of investor's shares	NOMI = Nominee (Third-party ownership), OWNR = Investor with all rights, JOIN = Several investors hold shares jointly	M	[16 alphanumeric character]
27	Number of shares	Number of shares of the investor		M	[15 Digits with a decimal seperator]